



## APPLICATION FOR FEDERAL PROCESSOR PERMIT

United States Department of Commerce  
National Oceanic and Atmospheric Administration  
National Marine Fisheries Service, Alaska Region  
Restricted Access Management  
P.O. Box 21668  
Juneau, Alaska 99802-1668



**NOTICE: Only U.S. Citizens are authorized to receive or hold a Federal Processor Permit.**

**Indicate whether this application is for a Shoreside Processor Permit or a Stationary Floating Processing Permit**

☐ **Shoreside Processor**

☐ **Stationary Floating Processor**

**IMPORTANT!** A copy of the U.S. Coast Guard Abstract of Title or Certificate of Documentation **must be included** with this application if ownership of the vessel used as a stationary floating processor listed in Block B has changed or if a Federal Processor Permit has never been issued using this vessel.

**Is this application being submitted in order to amend an existing Federal Processor Permit?** ☐ **Yes** ☐ **No**

**If yes, please provide your current Federal Processor Permit number** \_\_\_\_\_

If you are amending your application, refer to the "General Information" section of the attached instructions, which provides the sections of the application form to be completed in addition to the amendments being made.

### BLOCK A OWNER INFORMATION

1. Name(s) of Owner(s) of Shoreside Processor or Stationary Floating Processor		
2. Business Mailing Address (street or P.O. Box, city, state, zip code)		3. SSN or Tax I.D. (SSN Voluntary)
4. Business Telephone Number	5. Business Fax Number	6. Business E-Mail Address
7. Managing Company Name (if any)		

### BLOCK B STATIONARY FLOATING PROCESSOR INFORMATION

1. Name of Vessel to be used as Stationary Floating Processor		2. Is this a vessel of the United States? <input type="checkbox"/> Yes <input type="checkbox"/> No
3. U.S. Coast Guard Documentation No. _____	4. ADF&G Vessel Registration No. _____	5. ADF&G Processor Code F _____
6. Length Overall (LOA) _____ Ft. Registered Length _____ Ft.	7. Gross Tonnage _____  Net Tonnage _____	8. Shaft Horsepower _____
9. Homeport of Vessel (city and state)		

**BLOCK B (CONTINUED)**  
**STATIONARY FLOATING PROCESSOR INFORMATION**

10. **GOA Inshore Processing Endorsement.** Stationary floating processors that wish to receive a GOA inshore processing endorsement must check the box below. A GOA inshore processing endorsement is required in order to process GOA inshore pollock and GOA inshore Pacific cod. Stationary floating processors that hold an inshore processing endorsement are prohibited from processing GOA pollock and GOA Pacific cod in more than one single geographic location during a fishing year and are also prohibited from operating as a catcher/processor in the BSAI. **Once issued, a GOA inshore processing endorsement cannot be rescinded for the duration of a fishing year.** See pages 3 and 4 of the application instructions for further explanation.

☐ GOA Inshore Processing Endorsement

**BLOCK C**  
**SHORESIDE PROCESSOR INFORMATION**

1. Name of Shoreside Processor

2. Business Address of Shoreside Processor

3. (a) **Physical location** of plant at which this shoreside processor is operating (no post office boxes)

\_\_\_\_\_

(b) Is this shoreside processor replacing a previous processor at this facility? ☐ Yes ☐ No

If yes, name of previous processor \_\_\_\_\_

(c) Are there multiple processing businesses using this facility? ☐ Yes ☐ No

4. Does the owner named in Block A, above, own the plant named in Block C, question 3 (a), above?  
☐ Yes ☐ No

5. ADF&G Processor Code  
F \_\_\_\_\_

6. Business Telephone Number

7. Business Fax Number

8. Business E-Mail Address

**BLOCK D**  
**APPLICANT SIGNATURE**

Under penalties of perjury, I hereby declare that I, the undersigned, completed this application, and the information contained herein is true, correct, and complete to the best of my knowledge and belief.

Applicant Name (please print or type)

Signature

Date

**Privacy Act Statement:** Federal regulations at 50 CFR part 679 authorize collection of this information. This information is used to verify the identity of the applicant(s) and to accurately retrieve confidential records related to federal commercial fishery permits. Where the requested information is a Social Security number (SSN), disclosure is voluntary. In the event it is not provided, NMFS will assign a unique code that will identify the records.

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## **PUBLIC REPORTING BURDEN STATEMENT**

Public reporting burden for this collection of information is estimated to average 0.35 hours per response, including the time for reviewing the instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing the burden, to NOAA, National Marine Fisheries Service, Alaska Region, Attn: Assistant Regional Administrator, Sustainable Fisheries Division, P.O. Box 21668, Juneau, AK 99802-1668.

## **ADDITIONAL INFORMATION**

Before completing this form please note the following: 1) The NMFS may not conduct or sponsor this information request, and you are not required to respond to this information request, unless the form displays a currently valid OMB control number; 2) This information is mandatory and is required to manage commercial fishing effort in the GOA and BSAI under 50 CFR 679 and under 402(a) of the Magnuson-Stevens Act (16 U.S.C. 1801, *et seq.*); 3) Responses to this information request are confidential under section 402(b) of the Magnuson-Stevens Act (16 U.S.C. 1801, *et seq.*). It is also confidential under NOAA Administrative Order 216-100, which sets forth procedures to protect confidentiality of fishery statistics. These procedures have been implemented under the NMFS Operations Manual entitled, "Data Security Handbook for the Northwest-Alaska Region, National Marine Fisheries Service."

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## INSTRUCTIONS TO THE APPLICATION FOR FEDERAL PROCESSOR PERMIT



### GENERAL INFORMATION

- ✓ Only U.S. Citizens are authorized to receive or hold a Federal Processor Permit.
- ✓ Complete a separate application for each processor. Application forms and instructions are also available on the NMFS, Alaska Region web site at [www.fakr.noaa.gov/ram](http://www.fakr.noaa.gov/ram).
- ✓ A copy of the U.S. Coast Guard Abstract of Title or Certificate of Documentation **must be included** with this application if ownership of the vessel used as a stationary floating processor listed in Block B has changed or if a Federal Processor Permit has never been issued using this vessel.
- ✓ Indicate whether or not you are amending your Federal Processor Permit. If you are amending your permit, enter the permit number where indicated and fill out Block A, the information you are changing, and then sign and date the application in Block D.
- ✓ Type or print legibly in ink.
- ✓ Retain a copy of the completed application for your records.
- ✓ Mail or deliver the completed application to:

**NMFS, Alaska Region  
Restricted Access Management (RAM)  
P.O. Box 21668  
Juneau, Alaska 99802-1668**

Physical location: **Federal Building  
709 W. 9<sup>th</sup> Street, Suite 713  
Juneau, Alaska 99801**

Applications can be faxed to RAM at (907) 586-7354; however, permits **cannot** and **will not** be faxed back. The original, signed permit must be on site at the processor.

- ✓ If you have questions when completing the application, please call RAM at (800) 304-4846 (select option 2) or (907) 586-7202 (select option 2). You can also check our web site at [www.fakr.noaa.gov/ram](http://www.fakr.noaa.gov/ram) or email your questions to [RAM.Alaska@noaa.gov](mailto:RAM.Alaska@noaa.gov).

**Federal Processor Permits** are required for any shoreside processor or any stationary floating processor (see 50 CFR 679.4).

**Processing or to process** means the preparation of, or to prepare, fish or crab to render it suitable for human consumption, industrial uses, or long-term storage, including but not limited to cooking, canning, smoking, salting, drying, freezing, or rendering into meal or oil, but does not mean icing, bleeding, heading, or gutting specifications under 50 CFR 679.2.

Please indicate the type of Federal Processor Permit for which you are completing this application.

**Shoreside Processor** - Any person or vessel that receives unprocessed groundfish, except catcher/processors, motherships, buying stations, restaurants, or persons receiving groundfish for personal consumption or bait.

**Stationary Floating Processor** - A vessel of the United States operating as a processor in Alaska State waters that remains anchored or otherwise remains stationary in a single geographic location while receiving or processing groundfish harvested in the GOA or BSAI.

Recordkeeping and reporting requirements stipulated at 50 CFR part 679.5 must be followed. A logbook and the appropriate forms may be obtained by contacting Sustainable Fisheries Division, NMFS, AKR, by mail at P.O. Box 21668, Juneau, Alaska 99802-1668; or by calling 800-304-4846 (select option 3) or 907-586-7228; or by FAX at 907-586-7465.

If this application is being submitted in order to amend an existing Federal Processor Permit, check yes where indicated and provide your current Federal Processor Permit number.

## **BLOCK A OWNER INFORMATION**

1. Enter the full name(s) of the owner(s) of the vessel used for the stationary floating processor listed in Block B; or the owner of the shoreside processor listed in Block C. A copy of the U.S. Coast Guard Abstract of Title or Certificate of Documentation **must be included** with this application if ownership of the vessel used as a stationary floating processor listed in Block B has changed or if a Federal Processor Permit has never been issued using this vessel.

**Note:** If there is more than one owner, list the principal owner first. The permit will be issued to the first owner listed, with an *et al.* notation. The permit **MUST** be issued to the owner of the stationary floating processor or shoreside processor, not to operators or lessees.

2. Enter your complete **permanent** business mailing address, including street or PO Box, state, and zip code. Your permit will be sent to this address. If you need to have your permit sent to a different address, please enter your **permanent** business address on the application and attach a note with your alternate address.
3. Enter your Social Security Number or Tax Identification Number.

**Privacy Act Statement:** Federal regulations at 50 CFR part 679 authorize collection of this information. This information is used to verify the identity of the applicant(s) and to accurately retrieve confidential records related to federal commercial fishery permits. Where the requested information is a Social Security Number (SSN), disclosure is voluntary; in the event it is not provided, NMFS will assign a unique code that will identify the records.

- 4-6. Enter the business telephone number, including area code, business fax number, and business e-mail address, if any, that are used by the processor owner. It is very important that you provide a number where we can contact you, or where we can leave messages for you. If questions arise concerning your application, and we are unable to contact you, issuance of your permit will be delayed.
7. Enter the name of the company, if other than the owner, that manages the operations of the stationary floating processor or shoreside processor.

## **BLOCK B**

### **STATIONARY FLOATING PROCESSOR INFORMATION**

Complete this block if you are requesting a Federal Processor Permit for a stationary floating processor.

1. Enter the complete vessel name as displayed in the official documentation.
2. Check whether or not the vessel is a vessel of the United States.
3. Enter the U.S. Coast Guard documentation number (example: 566722).
4. Enter the 5-digit State of Alaska Department of Fish & Game (ADF&G) vessel registration number (example: 51233).
5. Enter the ADF&G Processor Code.
6. Enter the vessel's length overall (LOA) in feet and registered length in feet.

The **LOA** of a vessel means the centerline longitudinal distance, rounded to the nearest foot, measured between: (1) the outside foremost part of the vessel visible above the waterline, including bulwarks, but excluding bowsprits and similar fittings or attachments, and (2) the outside aftermost part of the vessel visible above the waterline including bulwarks, but excluding rudders, outboard motor brackets, and similar fittings or attachments (50 CFR 679.2).

7. Enter registered gross tonnage (U.S. tons) and net tonnage (U.S. tons) as stated in the official documentation.
8. Enter the shaft horsepower.
9. Enter the home port (city and state) as recorded in the official documentation.
10. **GOA Inshore Processing Endorsement.** Stationary floating processors that wish to receive GOA inshore processing endorsements must complete No. 9 of Block B on the application. A GOA inshore processing endorsement is required in order to process GOA inshore Pacific cod and GOA inshore pollock. Stationary floating processors that hold an inshore processing endorsement are prohibited from processing GOA pollock and GOA Pacific cod in more than one single geographic location during a fishing year and are also prohibited from operating as a catcher/processor in the BSAI. **Once issued, a GOA inshore processing endorsement cannot be rescinded for the duration of a fishing year.** It may be changed for the next fishing year by submitting an application for permit amendment prior to the beginning of that fishing year. For more information on the inshore/offshore regulations, contact Sustainable Fisheries Division toll free at (800) 304-4846 (select option #3) or (907) 586-7228.

Vessels holding the GOA inshore processing endorsement face additional operating restrictions (see 50 CFR 679.7).

## **BLOCK C**

### **SHORESIDE PROCESSOR INFORMATION**

1. Enter the name of the shoreside processor that is receiving or processing groundfish harvested from the Gulf of Alaska (GOA) or the Bering Sea/Aleutian Islands (BSAI).
2. Enter the business address of the shoreside processor.

3. (a) Enter the physical location where the plant, at which the owner of shoreside processor in Block A, question 1, is operating. **DO NOT USE POST OFFICE BOX NUMBERS.**  
  
(b) Indicate if this processor is replacing a previous processing business at this facility.  
  
(c) Indicate if there are multiple processing businesses using this facility.
4. Indicate if the applicant in Block A owns the plant at which the shoreside processor listed in Block C, question 3(a), is operating.
5. Enter the ADF&G Processor Code.
- 6-8. Enter the business telephone number, including area code, business fax number, and business e-mail address, if any, that are used by the owner of the shoreside processor. It is very important that you provide a number where we can contact you, or where we can leave messages for you. If questions arise concerning your application, and we are unable to contact you, issuance of your permit will be delayed.

## BLOCK D APPLICANT'S SIGNATURE

The applicant must sign and date the application certifying that all information is true, correct, and complete to the best of the his/her knowledge and belief. The application will be considered incomplete without this signature.

## SPECIAL HANDLING OF PERMITS

Please allow at least 10 days for processing your permit. **Do not wait until right before an opening to apply for your permit,** as you may not receive it on time. You may FAX your permit application to us at (907) 586-7354, but a permit **cannot and will not** be faxed back to you.

If you would like to have your permit sent by a method other than regular mail, please attach a note indicating a method and follow the appropriate procedure below.

**Express Mail.** If you would like to have your permit sent to you by U.S. Postal Express Mail, determine from the table below the weight and dimensions of the appropriate documents. Send us an express mail envelope with the correct amount of postage prepaid or send express mail stamps UNATTACHED to an envelope. **NOTE:** If the express mail envelope you send is too small or the postage attached is less than the amount required, your permit and logbook will be sent to you by regular U.S. mail.

**Other Express Carriers.** If you would like to have your permit sent to you by a private express carrier, e.g., Federal Express, UPS, DHL, etc., submit your account number and name of the carrier or a prepaid envelope with the permit application.

Logbooks and appropriate forms may be obtained by contacting the Sustainable Fisheries Division, NMFS, AKR by mail at P.O. Box 21668, Juneau, Alaska 99802-1668; by phone at call 800-304-4846 (select option 3) or 907-586-7228; or by FAX at 907-586-7465. The approximate size and weight of each logbook are given below.

	Dimensions (inches)	Weight (lb,oz)
Shoreside Processor & Stationary Floating		
Processor Logbook	11 x 17	5.0

**Electronic Reporting.** An electronic alternative to the shoreside processor logbook is available. Processors that use the Shoreside Processor Electronic Logbook Report (SPELR) system transmit landing and production data to NMFS electronically, and do not have to submit weekly production reports. Processors interested in using the SPELR system should contact NMFS Sustainable Fisheries Division at 586-7228 for further information or to request a SPELR CD.

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